

#### DEPARTMENT OF AGRICULTURAL AND RESOURCE ECONOMICS

## Benjamin Krause

Contact 945 Ohlone Ave APT 953, Albany, CA 94706

**Information** benjaminkrause@berkeley.edu

Department Website: are.berkeley.edu/candidate/benjamin-krause

Personal Website: www.benjaminkrause.com

+1 (310) 926-8654

**Doctoral** University of California, Berkeley

Studies PhD, Agricultural and Resource Economics, Expected completion May 2021

PRIMARY FIELDS: Development Economics, Political Economy SECONDARY FIELDS: Psychology in Economics, Public Economics

 Dissertation
 Professor Jeremy Magruder
 Professor Edward Miguel

 Co-Chairs
 jmagruder@berkeley.edu
 emiguel@berkeley.edu

+1 (510) 643-8742 +1 (510) 642-4361

Department of Agricultural Department of Economics

& Resource Economics

AdditionalProfessor Aprajit MahajanProfessor Benjamin FaberLetteraprajit@berkeley.edubenfaber@econ.berkeley.edu

Writers +1 (510) 664-7163 +1 (510) 495-7096

Department of Agricultural Department of Economics

& Resource Economics

PlacementProfessor Sofia Villas-BoasProfessor Max AuffhammerDiana LazoOfficerssberto@berkeley.eduauffhammer@berkeley.edulazo@berkeley.edu

+1 (510) 409-4341 +1 (925) 360-6473 +1 (510) 642-3345

PriorUC BerkeleyM.Sc. Agricultural and Resource Economics2017EducationJohns Hopkins University School of Advanced International Studies (SAIS)2009

M.A. International Development and Economics

Concentration: Politics and Governance

**Xavier University** B.A. Philosophy and Spanish, *summa cum laude* 2003

Minors: Economics, Latin American Studies, & Theology

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Teaching UC Berkeley Agricultural & Resource Economics graduate level

Econometrics: Multiple Equation Estimation (ARE 212), Ethan Ligon & Sophia Villas-Boas 2020

UC Berkeley Goldman School Masters of Public Policy / Masters of Development Practice

International Economic Development Policy (PP/ARE C253), Alain de Janvry 2018, 2019

UC Berkeley Masters of Development Practice (reader)

Interdisciplinary Development Seminar (DEVP 239), George Scharffenberger 2016

GraduateUC Berkeley ARE,Jeremy Magruder2019-PresentStudentUC Berkeley Economics,Ben Faber2016-2018ResearcherUC Berkeley ARE,Alain de Janvry & Elisabeth SadouletFall 2017UC Berkeley Economics,Edward MiguelSummer 2017

Summer 2017

Department Service Graduate Student Cohort Representative, Diversity, Equity, and Inclusion (DEI) Committee

#### Languages English (native), Haitian Kreyol (Proficient), Spanish (Proficient), French (Intermediate)

Grants,	2020	Development Economics Challenge, UC Berkeley CEGA	\$15,000
Fellowships,	2020	Berkeley Fellowship for Graduate Study, UC Berkeley Graduate Division	\$4,000
and Awards	2019	J-PAL Governance Initiative, MIT	\$49,872
	2019	Berkeley Fellowship for Graduate Study, UC Berkeley Graduate Division	\$4,000
	2019	The Sacheti Family Fellowship, UC Berkeley ARE	\$1,500
	2019	Berkeley Empirical Legal Studies Graduate Fellowship, UC Berkeley Law	\$1,000
	2018	Weiss Fund for Research in Development Economics, Harvard University Econom	ics \$39,978
	2018	Berkeley Fellowship for Graduate Study, UC Berkeley Graduate Division	\$4,000
	2018	Conference Travel Grant, UC Berkeley Graduate Division	\$1,500
	2018	External Collaboration Contract for Research, International Labour Organization	\$6,100
	2017	Global Development Lab, USAID (with J. Magruder)	\$1,551,468
	2017	Weiss Fund for Research in Development Economics, Harvard University Econom	ics \$4,820
		Travel Grant, UC Berkeley ARE	\$1,500
	2016	Research Grant (with B. Faber), UC Berkeley CEGA	
		(Sponsored by Apple, BMW Group, Samsung SDI, Sony, and anonymous donors)	\$421,358
	2016	Travel Grant, UC Berkeley ARE	\$1,500
	2015-2017	Berkeley Fellowship for Graduate Study, UC Berkeley Graduate Division	\$122,456
	2012	Magis Alumni Award - Young alumni of the year, Xavier University	
	2009	William C. Foster Award – Most outstanding graduate of 2009, Johns Hopkins SAl	S
	2008	Corruption–Prevention Essay Contest, Trace International	\$5,000
	2008	Travel Grant, Johns Hopkins SAIS	\$6,000
	2007-2009	Schwartz International Development Fellowship, Johns Hopkins SAIS	\$40,000
	2003	Fr. Finn, SJ Award – Most outstanding overall graduate of 2003, Xavier University	
	2002	Archbishop McNicholas Award – Most outstanding student, Xavier Philosophy De	partment
	1999-2003	Service Fellowship (4-year tuition, books, room, & board), Xavier University	≈\$100,000
	1997	Eagle Scout, Boy Scouts of America	

#### Research Papers

## "Balancing Purse and Peace: Tax Collection, Public Goods and Protests" (JOB MARKET PAPER)

Strengthening state capacity in low income countries requires raising tax revenue while maintaining political stability. The risk of inciting political unrest when attempting to increase taxes may trap governments in a low-tax equilibrium, but public goods provision may improve both tax compliance and political stability. To test these questions empirically, I partner with the national tax authority and a local mayor?s office in Haiti to cross-randomize both tax collection and public goods across one of the country?s largest cities. Effects are measured both via administrative data on tax revenue as well as through novel measures of political unrest. In the paper?s main result, I show that hand-delivering property tax invoices reduces individual tax compliance by 48%, and increases independently observed measures of localized political violence by 192%. In contrast, providing a valuable and visible public good (namely municipal garbage removal) increases tax compliance by 27%, and reduces localized political violence by 85%. Importantly, public goods provision significantly mitigates the adverse effects of tax collection in neighborhoods receiving both treatments. A cost accounting exercise suggests that providing the public good in this setting could pay for itself within the first year. These findings suggest that it may be possible to peacefully shift to a new equilibrium of higher tax compliance with a sufficient initial investment perhaps financed through foreign aid or other transfers.

# "Public-Private 'Partnership': Improving Welfare and Reducing Corruption in Haiti's Universal Primary Education Program"

Due to the acute challenges of governance in Least Developed Countries, donors are increasingly promoting Public–Private Partnerships to increase service provision. However, these hybrid arrangements create a different set of governance challenges as officials seek to hold private sector actors accountable for delivery. I examine one such effort in Haiti where the government attempted to achieve universal primary education by providing 200 million USD in subsidies directly to education entrepreneurs. I find evidence that the program's roll–out coincided with a more than 30 percentage point increase in primary school enrollment and use a cohort study to identify causal evidence of both increased enrollment as well as household welfare improvements. Furthermore, I make use of multiple novel datasets to find that audited participants reduced grant claims by 18.9% – interpreted as a reduction in fraud – resulting in a directly observed savings of 875,000 USD per year for a conservative return of 11 USD for every dollar spent on auditing. I do not find any evidence of spillover effects. I provide additional support for my findings through an event study.

## Research in Progress

"The effect of Cash Transfers and Village Savings Groups on Youth Violence, Conflict and Moral Formation" with J. Magruder and E. Wiseman.

USAID-funded RCT of GiveDirectly, EDC and Catholic Relief Services in Eastern DR Congo.

"Governing Like a Firm: Evidence from the Administrative Data of Armed Groups" with co-authors. Theory and evidence from 5 years of novel data we have collected from one of the largest groups controlling ungoverned territory in Eastern DR Congo.

## Policy Reports

**"Digging Deeper to Understand Child Labor"** with B. Faber and R. Sanchez de la Sierra. International Labour Organization. October 2018.

With international awareness of child labor in the production of mobile phones, laptops, and electric vehicles increasing, this report presents additional findings to complement "Artisanal Mining, Livelihoods, and Child Labor in the Cobalt Supply Chain of the Democratic Republic of Congo" (Faber et al., 2017) to inform policy responses. Based on new data from the 1,575 children surveyed across the 150 mining communities in our representative sample. Our analysis provides additional evidence that households in these communities, and in particular those dependent on child labor, are on average poor and vulnerable to income shocks. Child labor, mostly from older teenagers, is a significant contributor to the mining sector making up 13% of the artisanal mining workforce. Age-based specialization leads to the oldest children performing the most risky tasks. We further discuss heterogeneity in gender and reported motivation to work in mining. The surveys indicate that the decision for a child to work outside the home is primarily made by the parents, but children mainly decide if they are going to work in mining. Our results highlight the precarity of mining-dependent families, the risks of blanket bans against ASM, and the importance of rigorous impact evaluation for identifying risk-minimizing ways to support mining-dependent families end their reliance on child labor in cobalt extraction.

"Artisanal Mining, Livelihoods and Child Labor in the Cobalt Supply Chain of the Democratic Republic of Congo" with B. Faber and R. Sanchez de la Sierra. UC Berkeley Center for Effective Global Action. May 2017.

Cobalt is one of the most essential and ubiquitous elements of the modern information economy as it is critical for the rechargeable batteries that make mobile connectivity and electronic vehicles possible. However, its supply-chain, and in particular its extraction, has come under significant scrutiny by local and international advocacy groups concerned about child labor and other threats to the health and safety of miners and mining communities. To shed light on the prevalence, distribution, forms, and causes of these issues, we mapped and then conducted a series of representative surveys in the artisanal mining communities in the "copperbelt" of the Democratic Republic of Congo (DRC) - the location of the the majority of the world's known cobalt reserves. Administered to a random sample of 150 mining communities from the 426 we identified in total, our survey contains information gathered from 2,635 households and information about 15,023 individuals. We find that households in these communities are on average poor, heavily reliant on mining and vulnerable to income shocks. 90% of all mining labor is in artisanal small-scale mining (ASM), there are no forms of collective labor organization in 65% of mining sites, and overall miners appear to capture a significantly smaller price for their output than traders capture. Furthermore, 11% of children in these communities work outside of the home, of which 23% (or an estimated 4,714 children) work in the mining sector. We find: (1) Disengaging from artisanal mining can have detrimental effects for a large number of households living in the DRC copper cobalt belt; (2) Maintained sourcing from ASM, coupled with providing support and incentives to miners can prevent unintended harm, while reducing the prevalence of child labor; (3) The impact of interventions targeted at reducing child labor should be rigorously evaluated prior to their implementation at scale.

Development
<b>Grants Won</b>
& Managed
(Selected)

2014	World Bank	\$8,754,211
2014	Pohlad Foundation	\$2,000,000
2014	Digicel Foundation	\$400,000
2014	Ray of Light Foundation	\$431,879
2015	United Nations Stabilization Mission in Haiti	\$200,000
2013	Artists for Haiti	\$350,000
2013	United Nations High Commissioner for Refugees	\$150,000
2013	Digicel Foundation	\$300,000
2013	Acqua for Life	\$500,000
2013	PDVSA	\$383,899
2012	United Nations Development Programme	\$6,200,000
2012	American Red Cross	\$2,800,000
2012	Artists for Haiti	\$2,500,000
2012	Clinton Bush Haiti Fund	\$1,498,537
2012	United Nations High Commissioner for Refugees	\$254,996
2012	World Bank	\$100,029
2012	Vista Equity Fund for Education	\$200,000
2012	Svenska Postkod Stiftelsen	\$500,000
2012	Happy Hearts Fund	\$303,750
2011	World Bank	\$2,330,610
2011	USAID	\$1,501,425
2011	Digicel Foundation	\$105,039
2011	Voila Foundation	\$160,000
2011	Flora Family Foundation	\$144,000
2011	Hewlitt Foundation	\$144,000
2011	The Foundation for AIDS Research	\$250,000
2011	California Endowment	\$100,000
2011	International Organization for Migration	\$225,989
2011	Solidarites International	\$350,000

#### Prior Employment

#### Chief of Staff for Sean Penn

2013-2017

In support of Mr. Penn's Global Humanitarian and Human Rights agenda

Washington, DC

(Nov 2013-Jan 2017)

- Negotiated \$300 million, 10 year-commitment to reforest Haiti between the Governments of Haiti and France and Parker Foundation;
- Designed, coordinated and fundraised for a \$250k Ebola Response effort in direct collaboration with the Office of President Ellen Johnson Sirleaf to support the flagship Ebola Treatment Center in Monrovia;
- Secured the healthy treatment of and ultimate freedom for political prisoners and other prisoners of conscience around the world;
- Drafted position papers, opinion pieces, speeches and articles;
- Provided research and support for engagement with and testimonies before the US House of Representatives and US Senate;
- Coordinated engagement with governments in other countries including Latin America, the Middle East and Europe.

#### In support of Mr. Penn's roles as

Port-au-Prince, Haiti

## Ambassador-at-Large for Haiti and Special Advisor to the Prime Minister

(April 2013-Jan 2017)

- Advised Mr. Penn as Ambassador-at-Large for Haiti and briefed the President of Haiti on development policy, special events, international politics and collaboration with international nongovernmental organizations;
- Assisted Mr. Penn as Special Advisor to the Prime Minister of Haiti and advised the Prime Minister on housing, human rights, food security and development issues, business climate, international engagement and progress of recovery projects;
- Engaged bilateral and multilateral donors on reconstruction and recovery including direct meetings with the Presidents of Venezuela (current and former), Bolivia, Argentina and Uruguay, as well as the Presidents of the World Bank and Inter-American Development Bank, the Secretary General of the United Nations and his Special Representatives as well as the relevant Ambassadors to Haiti.

In support of Mr. Penn's roles as

Los Angeles, CA / P-au-P, Haiti (April 2013-Sept 2014)

#### CEO and Chairman of the Board of J/P Haitian Relief Organization

- Supported management of the global organization while acting as an ombudsman to ensure fulfillment of mission, goals, activities and projects with the highest professional, ethical, and quality-of-care standards;
- Represented the CEO within the organization, with the Board Members, with the media and external engagement;
- Coordinated communication between the board of directors and management including overseeing preparation of board materials and serving as the facilitator for all board meetings.

#### J/P Haitian Relief Organization (renamed CORE)

2011-2014

**Senior Vice President** 

Washington, DC / Port-au-Prince, Haiti

#### for Institutional Partnerships and Organizational Learning

(Nov 2013-Sept 2014) e resource mobilization, media engage-

- Member of the Senior Executive Team overseeing organization-wide resource mobilization, media engagement, special events, financial accountability and transparency, organizational compliance and accountability, security and strategic planning;
- Provided strategic leadership for resource mobilization with institutional partners (foundations, NGOs, multilateral institutions, governments, etc.) directing more than \$20 million in proposal development;
- Represented J/P HRO in the professional communities of response, disaster risk reduction and development;
- Researched and facilitated learning exchanges between urban development experiences in Brazil, Venezuela, Argentina, South Africa and India;
- Led a review of the organization's strategy, development of its first vision statement, and updating of its mission and goal.

**Country Director** 

Port-au-Prince, Haiti (Feb 2011-April 2013)

- Planned and directed the transition from an international volunteer-based, emergency response team to a
  predominantly Haitian community development organization focused on innovative solutions working with
  poor communities in high-density, post-disaster settings;
- Led the organization from being entirely dependent on private fundraising to being 80% grant-funded while expanding programs by 300% over 3 years and managing more than \$40 million in operations;
- Oversaw the growth, staffing and management of all in-country operations, building the team of fewer than 100 volunteers and local laborers to a professional team of 300 national staff, 20 international staff, 50 national volunteers, 10 international volunteers and as many as 1,000 additional short-term workers;
- Led strategic planning, established 4 distinct programming areas (Camp & Relocations Management, Engineering & Construction, Medical and Community Development) as well as the Program Support systems (Finance, Admin, Security, Logistics and IT).
- Created the organizational structure and supervised the Director of Programs, Director of Program Support, Senior Manager for Finance and Accountability and the Volunteer Coordinator;
- Directed the development of systems and manuals for HR, Finance, Logistics and Administration;
- Designed and implemented independent feedback and reporting mechanisms and a code of conduct;
- Oversaw program expansion resulting in service provision for 60,000 internally displaced persons (IDPs) (all of whom successfully relocated to more durable housing solutions), removal of 500,000 cubic meters of rubble including the demolition of the National Palace, the retrofitting of 4 schools, 2 medical clinics, 2 community centers and 400 housing units, new construction of 50 housing units, organization of a school district of 30 schools reaching 6,000 students and medical service provision reaching more than 300,000 beneficiaries:
- Contributed to the design and led the pilot for what became the national post-earthquake recovery program which went on to be the most successful initiative for supporting the 1.5 million people left homeless by the earthquake to exit IDP camps for more durable housing.

Catholic Relief Services 2009-2011

#### Program Manager

#### for Community Resettlement and Recovery

Port-au-Prince, Haiti (March 2010-Jan 2011)

- Served on the advisory board for the President of Haiti on support for Internally Displaced Persons (IDPs);
- Developed and implemented a system to safely and responsibly resettle 5,000 IDPs which then was adopted as the national standard;
- Designed the small-grants system for the Partnership Unit to provide \$1.4 million to local organizations for earthquake recovery;
- Created the "Neighborhood Return and Community Resettlement Plan" which became one of the central documents informing CRS' strategy and management structure in responding to the earthquake;
- Designed, piloted and brought to scale the process, structure and methodology for managing the construction and distribution of 8,000 transitional shelters, 1,000 latrines and \$1.6 million in livelihoods programming;
- Managed two area coordination teams (Christ Roi and Delmas 62) overseeing rubble removal, demolition, rubble recycling, cash-for-work, livelihoods programming and the construction of transitional shelters as well as water, sanitation and hygiene (WASH) facilities.

## **International Development Fellow**

Addis Ababa, Ethiopia (July 2009-March 2010)

- Designed methodology of participatory community mapping of natural resources and risks which incorporated Google Earth for better information management and M&E as well as increased community ownership and sustainability of projects;
- Led week-long "Mapping for Development" conference on participatory community mapping methodology and Geographic Information Systems software for program management attended by CRS managers from 7 countries;
- Led proposal development and management of four Integrated Watershed Management (IWM) projects in three distinct regions of Ethiopia with a combined budget of \$4.3 million;
- Drafted, oversaw data collection and wrote the baseline survey of these IWM projects, provided training and direction to data collection teams and supervised the initial studies, analysis and reports;
- Developed proposals for emergency (food security, disease outbreak) and development (water and sanitation, natural resource management, agribusiness) projects including a \$150 million long-term emergency food strategy and \$120,000 cholera response.

#### **Uganda Village Project**

2006-2007

#### **Programme Coordinator**

Iganga, Uganda

- Conceived and led the organization's first 5-year Strategic Planning process which resulted in a focus on coordinating community organizations, local and national government and international donors to provide basic health care for 70 villages including the construction of 190 protected water sources in Iganga District;
- Designed and directed the experimental impact evaluation and monitoring mechanism for 5-year "Healthy Villages" initiative and directed the baseline survey of 1,000 randomly selected households;
- Initiated and implemented organizational re-branding and new communications strategy development including new web presence and social media engagement;
- Designed and implemented rural water empowerment program that provided safe and sustainable water sources to over 3,000 people;
- Coordinated government, NGOs, local organizations and donors to assist rural communities in building improved water sources at 10% the cost of previous projects and providing basic health care and emergency medical services in the most under-served areas;
- Directed summer internship program involving 30 students from 7 different countries working on malaria prevention, safe water, clinical health, orphan outreach and a study of Obstetric Fistula by the U of Michigan;
- Oversaw microfinance and livelihoods pilots for goat rearing and production of passion fruit and hot pepper;
- Administered Orphan Scholarship Fund sponsoring more than 100 children in secondary school;
- Directed local and national advocacy to improve HIV care which restarted HIV testing in rural Iganga;
- Established UVP as the primary international partner of the district government for public health.

The Jesuits 2003-2006

## Chicago Province Novice / Donné

Economic Research Assistant, The Center of Concern	Washington, DC
<ul> <li>Assistant for development and programming, Clean Water for Haiti</li> </ul>	Pierre Payen, Haiti
• Director of Social Services & Pastoral Associate, San Procopio Hispanic Parish	Chicago, IL
High School English and Theology Teacher, El Colegio de San Francisco Javier	Lima, Peru
Prison Chaplain, Oakland County Jail	Detroit, MI
High School Spanish Teacher & Campus Minister, Creighton Preparatory School	Omaha, NE

## **Internships**

2008	United Nations Country Office	Cairo, Egypt
2008	United Nations Millennium Campaign	Washington, DC
2002	La Florida Permaculture Institute	La Libertad, El Salvador
2001	Casa Alianza	Managua, Nicaragua
2000	El Centro para el Muchacho Trabajador	Quito, Ecuador
1998-1999	Creighton University Institute for Latin American Concern	Santiago, Dominican Republic
1998-1999	Young Neighbors in Action	Tijuana, Mexico

#### **Presentations**

2020	5th Zurich Conference on Public Finance in Developing Countries, University of Zurich
2020	113th Annual Conference on Taxation, National Tax Association
2020	Cities and Development, Harvard University
2020	North East Universities Development Consortium, Dartmouth University
2020	Political economies, ecologies of politics: Haiti in the world system, Haitian Studies Associa-
	tion Annual Conference
2020	Advances in Field Experiments, University of Chicago
2020	Pacific Development Conference (PACDEV), UC Berkeley ARE
2019	Provision of Public Goods, Western Economic Association International (WEAI) Conference
2019	Evaluating development projects and policies, Haitian Studies Association Annual Conference
2019	Psychology and Economics of Poverty Convening, UC Berkeley CEGA
2018	Management of Disaster, Haitian Studies Association Annual Conference
2018	Giannini Agricultural & Resource Economics Student Conference (GARSEC), UC Berkeley
2017	Giannini Agricultural & Resource Economics Student Conference (GARSEC), UC Davis
2014	Design for Urban Disaster, Harvard University
2014	World Urban Forum, Medellin, Colombia
2014	The Bureau of Intelligence and Research, U.S. Department of State
2013	Haiti: Post-Disaster Reconstruction Sustainability & Development, Fordham University
2013	Haiti Panel, Xavier University
2013	Humanitarianism in Haiti: Visions and Practice, Duke University

# Other Writing

"Transformative Technology for Political Participation: E-government, Blogging and Governance in Egypt" SAIS Perspectives. 2009.

"Digging Deeper: Community Driven Development and the Uganda Village Project" SAIS Review of International Affairs. Winter-Spring 2009.

"Beyond the Illusion: The Merits of a Flexible Approach to Sustainability" SAIS Perspectives. 2008.

"An Exercise in Stalemate? World Bank & IMF Spring 2005 Meetings." Global Policy Forum. 2005.

## Media Coverage (Selected)

"Electric car makers in drive to remove human rights stain from cobalt" **Reuters** 2018; "In Disaster Relief, Information Is Life & Death" **Huffington Post** 2015; "France pledges to help in the reforestation of Haiti" Le Nouveliste 2015; "We've Turned the Corner in Haiti" Newsweek 2014; "Haiti earthquake refugees trade golf course camp for humble homes" Reuters 2014; "Advocating for American Jacob Ostreicher's Freedom After Two Years in Bolivian Detention" US House of Representatives (Committee on Foreign Affairs) 2013; "Palace in Haiti, Damaged by Quake, Is Being Razed" **The New York Times** 2012; **Haiti Untold** (Documentary) 2012; "Artists for Haiti Films" **Cine Institute** (Documentary) 2012; "Interview with Benjamin Krause, Haiti Director for Sean Penn's J/P Charity" **The Caribbean Journal** 2012; "Signs of hope in Haiti" **CNN Anderson Cooper 360**° 2012; "Haiti: Hopeful Beyond Comprehension' **Omaha World Herald** 2011; "Sean Penn's aid group gets \$2.25M grant" **Associated Press** 2011; "Haiti's slow reconstruction" **Public Radio International: The World** 2011; "Relocating in Haiti" **The Christian Science Monitor April** 2010; "Tent City at a Golf Club Dramatizes Haiti's Limbo" New York Times (gallery) March 2010; "Developing Real-World Help" **Johns Hopkins University** 2009; "Following Father Finn" **Xavier University** 2003.

Board Membership 2018- President and Founding Board Member, Sowing Solidarity

embership 2015- Founding Board Member, LIDE Haiti

2014- Founding Board Member, Impact Farming, the US parent of the Smallholder Farmers Alliance

2007-2010 Executive Board Member, Uganda Village Project

# In-Country Experience

Argentina, Bolivia, Brazil, Burundi, Cambodia, China, Colombia, Costa Rica, Cuba, Dominican Republic, Djibouti, DR Congo, Ecuador, Egypt, El Salvador, Ethiopia, Guatemala, Haiti, Honduras, Kenya, Laos, Mexico, Nicaragua, Panama, Peru, Rwanda, South Sudan, Tanzania, Thailand, Uganda, Uruguay, Venezuela, Vietnam, Zambia & Zimbabwe.